

## LEGAL INFORMATION SHEET (ANACOFI-ANACOFI CIF)

This document is part of the relationship between a client and his advisor. It summarizes the legal information the advisor or his company must have communicated to the client at the beginning of the relationship. It is a complement to his commercial brochure.

You decided or are about to decide to ask a regulated and controlled professional to assist and advise you. You must then keep in mind the following elements.

### THE COMPANY – INDEPENDENT FINANCIAL ADVISOR

Name : KERIUS FINANCE SAS - Headquarter : 17 rue Dupin, 75006 Paris, France  
Register of Commerce / SIREN : 520 300 948 - Activity code (NAF/APE) : 7022Z

*GDPR: The information received by our company are recorded on an electronic file by Sebastien Rouzaire in order to be able to achieve the mission requested. They are kept for a duration of five years after the end of the last mission and are used only by the team members that need them to achieve their work, and by the authorities in case of controls (French Market Authority, ANACOFI).*

*According to the law « informatique et libertés », you can exercise your right of access and modification of the data relating to your organization and you by contacting Sébastien Rouzaire*

### LEGAL STATUS and REGULATION AUTHORITIES

Your advisor is registered at the Registre Unique des Intermédiaire en Assurance, Banque et Finance (ORIAS) under n° 13000716 (you can check this identification on the ORIAS internet site: [www.orias.fr](http://www.orias.fr)) in relation with its following regulated activities:

**CIF (Conseiller en Investissements Financiers)** able to provide independent advisory services, registered at the Association Nationale des Conseils Financiers-CIF (**ANACOFI-CIF**), which is registered at French Financial Market Authority (l'Autorité des Marchés Financiers - AMF): 17 Place de la Bourse 75082 Paris cedex 02 ([www.amf-france.org](http://www.amf-france.org))

According to the law and to the conduct code of the **ANACOFI-CIF**, your advisor benefits from a professional liability insurance (Responsabilité Civile professionnelle) and a financial guarantee covering its various activities. This coverage matches the requirements of the monetary and financial code and insurance code.

Insurance company	: MMA Entreprise
Amount covered	: 900.000 euros per sinister (no limit per year)
Contract number	: 114 231 724

Your advisor committed to respect in full the conduct code of the ANACOFI-CIF that is available at the association headquarter or on [www.anacofi.asso.fr](http://www.anacofi.asso.fr).

### PARTNER COMPANIES and SUPPLIERS / INVOICE MODE AND REMUNERATION

No turnover is generated from financial partners or suppliers of financial products. None of Kerius Finance SAS shareholders is a financial partner.

Kerius Finance SAS remuneration is limited to the fees paid by the client as defined in the mission letter.

### COMMUNICATION MODE

Emails, e-reports, visio conf. or conferences calls, meetings, as planned in the mission letter.

*Updated: Février 3, 2022*

KERIUS FINANCE SAS  
17, rue Dupin, 75006 Paris  
SAS au capital de 20.000 € - RCS Paris 520 300 948 – NAF 7022Z – TVA intracom. FR02520300948  
Téléphone : +33 (0)1 83 62 27 61 – Télécopie : +33 (0)1 83 62 28 55  
Conseiller en Investissements Financiers. Membre de l'ANACOFI-CIF – ORIAS N° 13000716  
RC professionnelle : MMA Entreprises – N° de police : 114 231 724

## CLAIM PROCEDURE

(Article 325-12-1 du RGAMF et Instruction AMF n° 2012-07 du 13/07/2012 updated  
24/04/13 et 20/11/13)

### Modalities to contact the company

For any claim, your advisor can be contacted:

By post mail: 17 rue Dupin 75006 PARIS, France  
By tel/ fax : +33 1 83 62 27 61 / +33 1 83 62 28 55

Your advisor commits to treat your claim with the following delays:

- ten business days from reception date to confirm reception, unless the answer is provided to the client in this delay ;
- two months maximum between the date of reception of the claim and the date of answer to the client, unless duly justified exceptional circumstances;

*Address of the Anacofi's mediator for entreprises :*

*Médiateur de l'Anacofi,  
92 rue d'Amsterdam  
75009 Paris, France*

*Address of the AMF's mediator : Mme Marielle Cohen-Branche*

*Médiateur de l'AMF  
Autorité des marchés financiers  
17, place de la Bourse  
75 082 Paris cedex 02*

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